

Formosa Saint Jose Corp.

Insider Trading Prevention Procedures

Article 1: Purpose

To prevent the Company or its insiders from inadvertently or intentionally violating insider trading regulations due to unfamiliarity with applicable laws, which could result in litigation or reputational damage, these Procedures are established to prevent insider trading, protect investors, and safeguard the Company's interests.

Article 2: Scope

The management procedures for preventing insider trading within the Company shall be governed by these Procedures. However, if other laws or regulations provide specific requirements, those provisions shall prevail.

Article 3: Definitions

1. Insiders: In accordance with the Securities and Exchange Act, insiders of the Company include its directors, managers, and shareholders holding more than ten percent (10%) of the total shares. Related parties of insiders include:

- (1) The spouse, minor children, and those holding shares under another person's name of the insider.
- (2) The legal entity's director representative, the representative's spouse, minor children, and those holding shares under another person's name. All the above are considered insiders of the Company.

2. Subjects of Insider Trading Regulations: In addition to the aforementioned insiders, this also includes persons who obtain information due to their profession or controlling relationship, as well as recipients of information acquired from insiders.

Article 4: Responsibilities

1. The Company's General Manager's Office is responsible for the formulation and maintenance of these Procedures.
2. The Company's Spokesperson is responsible for the external disclosure of the Company's material information.

Article 5: Operational Procedures

1. Scope of Prohibited Insider Trading

Pursuant to Article 157-1, Paragraph 1 of the Securities and Exchange Act, the following persons are subject to the insider trading prohibition:

- (1) Directors, managers, and natural persons designated to exercise duties on behalf of shareholders pursuant to Article 27, Paragraph 1 of the Company Act (when a government or legal entity is elected as a director, a natural person must be designated to perform the duties).
- (2) Shareholders holding more than 10% of the Company' s total outstanding shares.
- (3) Persons who obtain information due to their professional capacity or through a controlling relationship.
- (4) Persons who have lost any of the identities described in the preceding three items within the past six months.
- (5) Persons who have obtained information from any of the individuals described in the preceding four items.

Additionally, pursuant to Article 22-2 of the Securities and Exchange Act, the shareholdings of the Company' s directors, managers, or shareholders holding more than 10% of the Company' s shares shall include shares held by their spouse, minor children, or held in the name of another person.

2. Insider Trading:

Pursuant to Article 157-1, Paragraph 1 of the Securities and Exchange Act, the regulations on insider trading apply to individuals who, upon becoming aware of material information regarding the Company that could significantly affect its stock price, shall not buy or sell the Company' s listed shares, or other equity-type securities traded at a securities broker' s office, either before the information is publicly disclosed or within eighteen hours after such disclosure. Violation of this provision constitutes insider trading.

3. Pursuant to Article 157-1, Paragraph 4 of the Securities and Exchange Act, the scope of information that may have a material impact on the Company' s stock price includes:

- (1) Information related to the Company' s financial or business matters, where the specific content has a material impact on the Company' s stock price or has significant influence on the investment decisions of reasonable investors; and
- (2) Information related to the supply and demand of such securities in the market or tender offers, which has a material impact on the Company' s stock price or has significant influence on the investment decisions of reasonable investors.

4. Methods of Disclosure for Material Information Affecting Stock Prices

Pursuant to the "Regulations Governing the Scope of Material Information under Paragraph 4, Article 157-1 of the Securities and Exchange Act and the Means of Disclosure," the methods for disclosing material information affecting the Company' s stock price are as follows:

- (1) Material information involving the Company' s financial or business matters, the means of disclosure refers to the Company uploading such information to the Market Observation Post System (MOPS).
- (2) Material information involving market supply and demand, the means of disclosure refers to any of the following:
 - The Company uploading such information to the Market Observation Post System (MOPS);
 - Basic market condition reports;
 - Publication in non-local sections of at least two daily newspapers circulated nationwide;
 - National television news reports; or
 - Electronic newsletters issued by the aforementioned media.

5. Procedures for Handling Material Information:

- (1) The Company shall handle and disclose internal material information in accordance with the relevant laws, regulations, and the requirements of the Taiwan Stock Exchange (TWSE) or the Taipei Exchange (TPEX).
- (2) The Company' s directors, managerial officers, and employees shall perform their duties with the care of a prudent manager and in good faith, and shall

abide by the principle of honesty and integrity. They shall also sign a confidentiality agreement.

Directors, managerial officers, and employees who become aware of the Company' s internal material information shall not disclose such material non-public information to any other person.

Directors, managerial officers, and employees of the Company shall not inquire about or obtain any non-public material internal information of the Company from persons who are aware of such information, if such information is unrelated to their job duties. They shall also not disclose any non-public material internal information of the Company obtained other than in the course of performing their duties.

- (3) When material internal information of the Company is transmitted in written form, it shall be properly protected.

When transmitted via e-mail or other electronic means, appropriate security measures such as encryption or electronic signatures shall be applied. Files containing the Company' s material internal information shall be backed up and stored in a secure location.

- (4) External institutions or personnel participating in the Company' s mergers and acquisitions, significant memoranda, strategic alliances, other business cooperation plans, or the execution of material contracts shall sign a confidentiality agreement and are prohibited from disclosing any material internal information of the Company they have obtained to others.

- (5) The Company shall adhere to the following principles when disclosing material internal information externally:

- Disclosure of information shall be accurate, complete, and timely.
- Information disclosure shall be based on verifiable grounds.
- Information shall be disclosed fairly.

- (6) The disclosure of the Company' s material non-public information, unless otherwise required by laws or regulations, shall be handled by the Company' s spokesperson or their designated deputy, with the delegation sequence confirmed. If necessary, the Company' s responsible officer may handle the disclosure directly. The statements made by the Company' s

spokesperson and deputy spokesperson shall be limited to the scope of authorization granted by the Company. Except for the Company's responsible officer, spokesperson, and deputy spokesperson, no other personnel shall disclose the Company's material non-public information externally without proper authorization.

(7) The Company shall retain the following records of its external information disclosures:

- The personnel responsible for the information disclosure, as well as the date and time of the disclosure.
- The method or channel used for the information disclosure.
- The content of the disclosed information.
- The content of the delivered written materials.
- Other relevant information.

(8) If the content of media reports is inconsistent with the information disclosed by the Company, the Company shall promptly clarify on the Market Observation Post System (MOPS) and request corrections from the media.

(9) If any director, manager, or employee of the Company becomes aware of a leak of material non-public information, they shall promptly report it to the dedicated unit and the internal audit department.

Upon receiving the report mentioned in the preceding paragraph, the dedicated unit shall formulate an appropriate response plan. If necessary, it may convene relevant departments, such as Internal Audit, to discuss handling measures. The results of such actions shall be documented and filed for reference, and Internal Audit shall conduct verification in accordance with its responsibilities.

(10) If any of the following situations occur, the Company shall hold the responsible personnel accountable and take appropriate legal actions:

- Company personnel who disclose material non-public information externally without authorization, or who violate these Procedures or other applicable laws and regulations.
- Company spokespersons or their deputies who make external statements beyond the scope of the Company's authorization, or in violation of these Procedures or other applicable laws and regulations.

If any external person leaks the Company' s material non-public information and causes damage to the Company' s property or interests, the Company shall pursue legal liability against such person through appropriate channels.

Article 6

The Company shall conduct educational and awareness training on these Procedures or related laws and regulations for its directors, managers, and employees at least once every year.

For newly appointed directors, managers, and employees, the Company shall provide timely education and awareness training.

Article 7

The Company shall establish and maintain records of insiders and report such information to the competent authorities in accordance with the prescribed deadlines and procedures.

Article 8

These Procedures shall be implemented upon approval by the Board of Directors, and the same shall apply to any amendments.

Article 9: Establishment and Revision Dates:

These Procedures were established on May 21, 2023.
The first revision was made on August 28, 2024.